

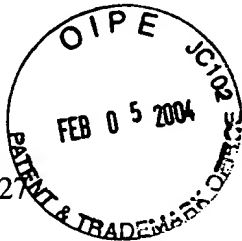
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

James Peterson et al.

Application No.: 09/654,627

Filed: September 5, 2000

For: SYSTEM AND METHOD FOR TAX
SENSITIVE PORTFOLIO
OPTIMIZATION

Group Art Unit: 7348

Examiner: Sally Shih

**RESPONSE TO ADVISORY ACTION
MAILED DECEMBER 30, 2003**121 Spear Street, Suite 290
San Francisco, CA 94105
(415) 512-1312#16/C
ShihBOX RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**CERTIFICATE OF MAILING**I hereby certify that this correspondence is being deposited
with the United States Postal Service as First Class Mail in an
envelope, addressed to: Commissioner for Patents, P.O.
Box 1450, Alexandria, VA 22313-1450 on February 2, 2004.

STALLMAN & POLLOCK LLP

Dated: 02/02/2004

By:

Georgia K. Stith

Sir:

In response to the Advisory Action mailed December 30, 2003, and in conjunction with Applicants' request for a one-month extension of time, and the Request for Continued Examination filed herewith, please amend the above-referenced application as follows,

Amendment to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 4 of this paper.

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